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1 Introduction

In order to promote consistency, this policy identifies the purpose, approval process and applicability of the different types of documents that may be created by the Operating Committee (OC), Planning Coordination Committee (PCC), Market Interface Committee (MIC), and Transmission Expansion Planning Policy Committee (“TEPPC”) and their subcommittees, task forces, and working groups (“Applicable Groups”).

1.1 Scope

This policy applies to documents created by Applicable Groups and that may be applicable to WECC members and/or Registered Entities. The WECC document numbering system shall be modified, as needed, by the WECC staff to conform to the categories.

1.2 Categorization Guidelines

If failure to follow a WECC document that is not a Reliability Standard has the potential to adversely impact reliability, the document shall be reviewed for possible re-categorization (in accordance with applicable requirements) as a Regional Reliability Standard.

If the content of any WECC document is obsolete or redundant to or in conflict with a Reliability Standard or a Regional Criterion, the document shall be reviewed for possible revision or retirement.

1.3 Resolving Categorization Disputes

Disputes regarding the categorization of Regional Reliability Standards or Regional Criteria shall be addressed by the WECC Standards Committee, who may use the Standards Development Procedures as necessary.

Disputes related to Policies, Guidelines and Reports or Papers will be addressed by the WECC Board or the Standing Committee or Board Committee to which document responsibility has been delegated.

2 Document Categories

2.1 WECC Regional Reliability Standards

Definition: Regional Reliability Standards shall have the definition set forth in the NERC Rules of Procedure as may be amended from time to time.

Development, Adoption, and Modification: WECC Regional Reliability Standards are developed, adopted, and modified in accordance with the WECC Reliability Standards Development Procedures.
WECC Regional Reliability Standards may be developed, modified, adopted and amended in Canada and Mexico in accordance with the approval process of the applicable governmental authority.

**Applicability:** WECC Regional Reliability Standards are applicable to Registered Entities in the U.S. portion of the Western Interconnection as identified within each WECC Regional Reliability Standard. WECC Regional Reliability Standards are applicable to entities in Canada and Mexico as determined by the applicable governmental authority.

**Monitoring and Enforcement:** Monitoring and enforcement of WECC Regional Reliability Standards within the U.S. portion of the Western Interconnection is the responsibility of the WECC Compliance department and is conducted in accordance with the Compliance Monitoring and Enforcement Program (CMEP). Within Canada and Mexico, the monitoring and enforcement of WECC Regional Reliability Standards are undertaken by the applicable governmental authority.

### 2.2 WECC Regional Criteria

**Definition:** Regional Criteria shall have the definition set forth in the NERC Rules of Procedure as may be amended from time to time.

**Development, Adoption, and Modification:** WECC Regional Criteria are developed, adopted and modified in accordance with the WECC Reliability Standards Development Procedures.

WECC Regional Criteria may be developed, modified, adopted and amended in Canada and Mexico in accordance with the approval process of the applicable governmental authority.

**Applicability:** WECC Regional Criteria are applicable to WECC members as identified within each WECC Regional Criterion.

A WECC Regional Criterion referenced in a FERC approved Reliability Standard is also applicable to Registered Entities in the U.S. portion of the Western Interconnection as identified within the WECC Regional Criterion.

WECC Regional Criteria may also be applicable to entities in Canada and Mexico as determined by the applicable governmental authority.

**Monitoring and Enforcement:** Regional Criteria are not enforceable under authority delegated by NERC. However, if a FERC approved Reliability Standard references a regional procedure, plan, process, etc., a Registered Entity may be found in violation of that Standard for not following the applicable requirements in a Regional Criterion. Within the United States portion of the Western Interconnection, the monitoring of WECC Regional Criteria referenced by a Reliability Standard may be undertaken by the WECC Compliance department pursuant to the CMEP.
In addition, the monitoring of adherence to Regional Criteria not referenced in a Reliability Standard may be conducted in accordance with the Process for Monitoring Adherence to Regional Criteria approved by the WECC Board of Directors.

Within Canada and Mexico, the monitoring and enforcement of WECC Regional Criteria are undertaken by the applicable governmental authority.

### 2.3 WECC Board Policies

**Definition:** A WECC Board Policy is created to establish general paths, directions, and objectives for the management of WECC. WECC Board Policies do not address reliability or operational requirements (as these are contained in WECC Regional Reliability Standards, WECC Regional Criteria or WECC Guidelines).

**Development, Adoption, and Modification:** The requirements prescribed in the WECC Bylaws for Board approval items shall be used to adopt, retire, or modify a Board Policy.

**Applicability:** A WECC Policy is applicable to all WECC Members and staff.

**Monitoring and Adherence:** Any action regarding adherence to Board Policies by WECC Members shall be pursuant to the WECC Bylaws.

### 2.4 WECC Guidelines

**Definition:** A WECC Guideline is a process, procedure or set of requirements created to address technical issues; including to promote consistency among members or to identify best practices.

**Development, Adoption, and Modification:** A WECC Guideline must be developed using at least one notice and comment process (which shall be in addition and prior to any notice and comment required for approval) and must be approved by the WECC Board or the Standing Committee or Board Committee to which document responsibility has been delegated, unless the WECC Board or the Standing Committee or Board Committee explicitly delegates approval to another Applicable Group.

**Applicability:** WECC Guidelines are applicable to WECC Members as identified in the Guideline.

**Monitoring and Adherence:** There is no adherence action associated with WECC Guidelines.

### 2.5 WECC Reports or Papers

**Definition:** A WECC Report or Paper is a document created to disseminate findings or improve awareness of issues or events. WECC Reports or Papers may include, but are not limited, to: white papers, Event Analysis Reports, information summaries or lists, reference documents, data bases, and survey results.
Development, Adoption, and Modification: WECC Reports or Papers must be developed using at least one notice and comment process (which shall be in addition and prior to any notice and comment required for approval) and must be approved by the WECC Board or the Standing Committee or Board Committee to which document responsibility has been delegated, unless the WECC Board or the Standing Committee or Board Committee explicitly delegates approval to another Applicable Group.

Monitoring and Adherence: There is no adherence action associated with WECC Reports or Papers.

## 2.6 WECC Charters

**Definition:** A WECC Charter is a document that must be created to govern the membership, activity, and responsibilities of an Applicable Group.

**Development, Adoption, and Modification:** A Charter shall be approved by the Board or the Applicable Group which created the Applicable Group to which the Charter relates.

**Applicability:** A Charter applies to the Applicable Group for which it was approved.

**Monitoring and Adherence:** Adherence to Charters shall be addressed by the Board, the Applicable Group which created the Applicable Group to which a Charter relates, the Governance Committee, and/or WECC Legal, as appropriate.

### 3 Approved By:

<table>
<thead>
<tr>
<th>Approving Committee, Entity or Person</th>
<th>Date</th>
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<tbody>
<tr>
<td>Board of Directors</td>
<td>12-2-15</td>
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