

WECC

WECC 2017 Annual Self-Certification

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Agenda

- Self-Certification Definition
- Determination of Self-Certification Scope
- Self-Certification Frequency
- Guided Self-Certifications
- Timing of Self-Certification
- Frequently Asked Questions

Self-Certification

Self-Certification

“Self-Certification” means an attestation by a Registered Entity that it is compliant or non-compliant with a Reliability Standard Requirement that is the subject of the Self-Certification, or that it does not own Facilities that are subject to the Reliability Standard Requirement, or that the Reliability Standard Requirement is not applicable to the Registered Entity.

NERC Rules of Procedure, Appendix 2

Self-Certification



Compliance Oversight Plan (COP)

- IRA
- Risk Element
- Regional Risk

CMEP Tool

- Audit
- Self Cert
- Spot Check ...

Self Cert

- Scope
- Frequency

Self-Certification



Compliance Oversight Plan (COP)

- IRA
- Risk Element
- Regional Risk

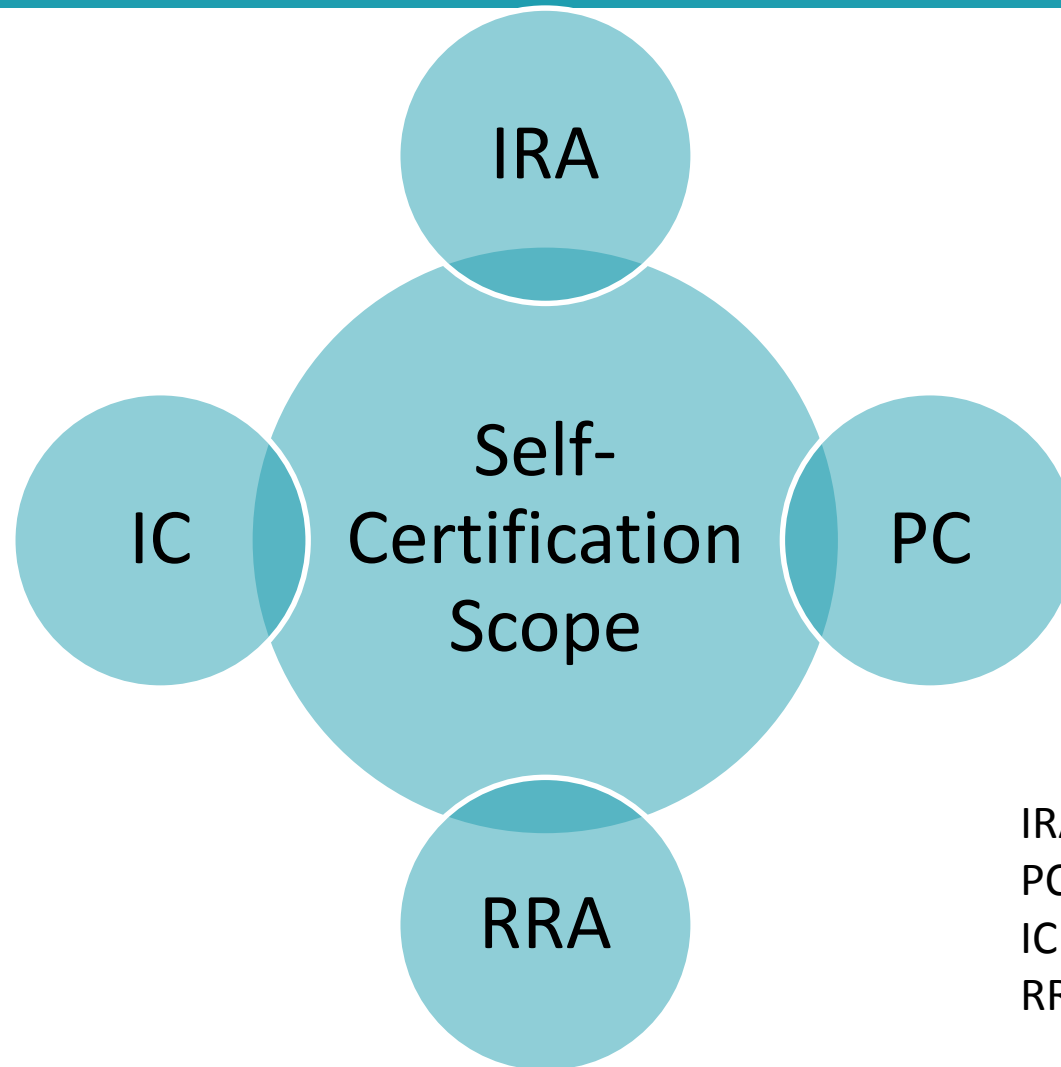
CMEP Tool

- Audit
- Self Cert
- Spot Check ...

Self Cert

- Scope
- Frequency

Determination of Self-Certification Scope



IRA – Inherent Risk Assessment
PC- Performance Consideration
IC – Internal Controls
RRA – Regional Risk Assessment

Self-Certification Scope & Frequency

Table 2. NERC Standards and Requirements Identified for Self-Certification

NERC Standard and Requirement	Reporting Year	Interval
CIP-002-5.1a R1	2018 for the 2017 calendar year	1-year
CIP-002-5.1a R2	2018 for the 2017 calendar year	1-year
CIP-003-6 R1	2018 for the 2017 calendar year	3-year
CIP-003-6 R2	2018 for the 2017 calendar year	3-year
COM-001-3 R8	2019 for the 2018 calendar year	One-time
COM-001-3 R12	2019 for the 2018 calendar year	One-time
COM-002-4 R3	2020 for the 2019 calendar year	3-year
EOP-004-3 R1	2018 for the 2017 calendar year	One-time

Guided Self-Certification

WECC may perform guided self-certifications in 2018. The self-certification requests will focus on the risk based approach and scope will be determined by WECC's quarterly CMS reviews, registered entity compliance history and/or results of IRA, COP and ICE. As part of the guided self-certification process, registered entities may be required to provide WECC with supporting evidence to substantiate determinations.

Self-Certification Timing



Posting Date

- December 15, 2017



Reporting Period

- January 1, 2017 through December 31, 2017



Submittal Period

- Begins January 1, 2018
- Ends March 1, 2018

Frequently Asked Questions

- Where can I find my Self-Certification Scope?
- How will I know if I will be included in the 2017 Annual Self-Certification request?
- If the scope in my COP contains retired standards, what should I expect to see in the request?

Questions

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